

# Notification of Conflict of Interest Referral

For use in cases involving possible violations of 18 U.S.C. §§ 203, 205, 207-209 by current or former executive branch employees only; see reverse for summary of statutory/regulatory background. Please return directly to: U.S. Office of Government Ethics, 1201 New York Ave. NW, Suite 500, Washington, DC 20005-3917. Phone: Associate Director for Program Reviews (202) 482-9270. FAX: (202) 482-9238.

Agency Referring the Case USPS Office of Inspector General	Agency Case or Referral Number 10UIOR3095CF02CF	Case Referred to: <input type="checkbox"/> DOJ, Public Integrity Section, Criminal Division <input checked="" type="checkbox"/> U.S. Attorney for (district) Middle District of FL <input type="checkbox"/> DOJ, other
Date of Referral to DOJ August 10, 2010	Name of Employee Involved in Case (optional), Agency, and Agency Component Where he/she was Employed. U.S. Postal Service, Orlando Vehicle Maintenance Facility	

## PART I ETHICS TRAINING

Is there any evidence the individual received ethics training? ☐ YES ☐ NO ☒ UNCLEAR

## PART II STATUTE(S) INVOLVED IN THIS CASE

Please check the appropriate box for the statute(s) involved in this case, then complete the rest of the information for the statute(s).

☐ **18 U.S.C. § 203 (Compensation for Representation Affecting the Government)**  
Federal entity before which representation occurred: \_\_\_\_\_  
Compensated representation on behalf of: \_\_\_\_\_  
Were representational services rendered or to be rendered: ☐ by the employee ☐ or by another?

☐ **18 U.S.C. § 205 (Representation Affecting the Government)**  
Federal entity before which representation occurred: \_\_\_\_\_  
Representation on behalf of: \_\_\_\_\_

☐ **18 U.S.C. § 207 (Post-Employment)**  
Federal entity before which representation occurred: \_\_\_\_\_  
Representation on behalf of: \_\_\_\_\_  
Was the communication/representation: ☐ oral? ☐ and/or written?  
☐ Former employee termination service before January 1, 1991. Check subsections involved:  
☐ 207(a) ☐ 207(b)(i) ☐ 207(b)(ii) ☐ 207(c)  
☐ Former employee termination service on or after January 1, 1991. Check subsections involved:  
☐ 207(a)(1) ☐ 207(a)(2) ☐ 207(b) ☐ 207(c) ☐ 207(d) ☐ 207(f)  
If 207(b) or 207(f) is checked, was behind-the-scenes aid or advice involved? ☐ Yes ☐ No

☒ **18 U.S.C. § 208 (Acts Affecting a Personal Financial Interest)**  
Does the case involve the financial interest: ☒ of the employee? ☐ of the employee's spouse?  
☐ Minor child? ☐ A firm with which the employee was negotiating for employment?  
☐ Other? (specify) \_\_\_\_\_  
Was a waiver sought? ☐ Yes ☒ No Was it granted? ☐ Yes ☒ No  
Was the employee required to file a financial disclosure form? ☐ Yes ☒ No If yes, check form involved:  
☐ SF 278 ☐ OGE Form 450 ☐ Other (specify) \_\_\_\_\_  
If so, was the basis for the interest disclosed on the financial disclosure form? ☐ Yes ☐ No

☐ **18 U.S.C. § 209 (Supplementation of Salary)**  
Type of supplementation (meals, travel, cash, etc.): \_\_\_\_\_  
Value of supplementation: \$ \_\_\_\_\_ Number of supplements: \_\_\_\_\_

☐ **Additional Statutes Involved in Conflict of Interest Referrals**  
Was 18 U.S.C. § 201 (bribery/gratuity) involved? ☐ Yes ☐ No  
Was 5 U.S.C. App. (Ethics in Government Act) § 501 (outside earned income) involved? ☐ Yes ☐ No  
Was 5 U.S.C. App. (Ethics in Government Act) § 502 (outside employment) involved? ☐ Yes ☐ No  
Was 18 U.S.C. § 1001 (false statements) involved? ☒ Yes ☐ No  
Was 5 U.S.C. App. (Ethics in Government Act) § 101 et seq. (Financial disclosure) involved? ☐ Yes ☐ No  
Other (list): \_\_\_\_\_

Agency Contact/Telephone Number  
Carolyn Austin / 813-371-0729

Date  
July 8, 2011